

# Explainable Model Selection of a Convolutional Neural Network for Driver's Facial Emotion Identification

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#### Introduction

#### Objectives

Driver's emotion detection approaches

The proposed model

Experimental results

Datasets



## Introduction

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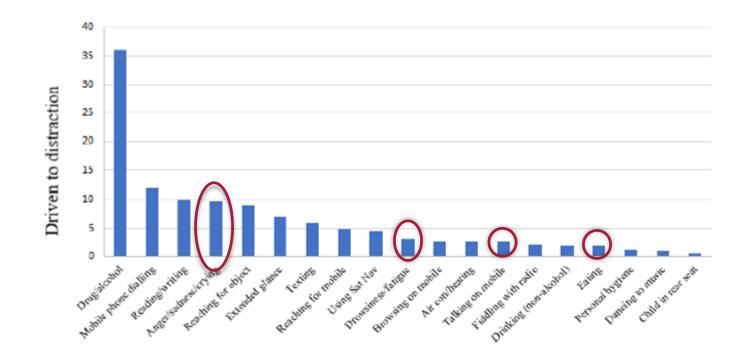
# **Introduction (cont.)**

# Queen's UNIVERSITY

#### **Facial expression influence on driver distraction**

#### Virginia Tech research [1]

- driving with sadness , anger (10)
- drowsiness or fatigue (3)
- talking on mobile or eating (2)







Implement a Facial Expression Recognition (FER)
 model using a Convolutional Neural Network (CNN)

• Using explainability methods to find the best architecture

#### **Driver emotion detection**

High accuracy

Low complexity

# **Facial Expression Recognition (FER)**



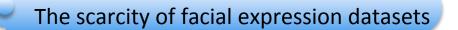
## What is FER?

#### **FER challenges**

Close in the feature space

Different representations of emotion

Brightness, background, occlusion





Angry

Fear

Surprise



Ambiguity between angry vs sad expression



Surprise emotion representation for the same person



Three different subjects with the happy expression

## **Driver Emotion Detection Approaches**



# Biomedical means ) ECG sensors( A self-reporting questionnaire Analyzing data from sensors (steering wheel) Manual feature engineering Feature extraction Classification (SVM) Deep learning learn directly from the input images Computer vision

# **The Proposed FER Model**



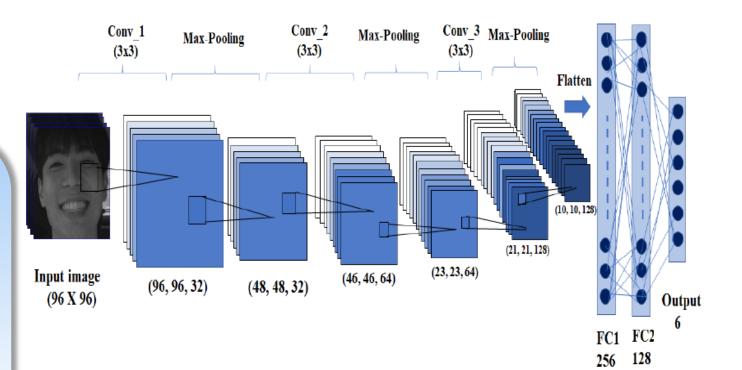
## **Face detection and cropping**

Haar Cascades classifier

## **CNN model**

Feature extraction

- 3 Convolutional( 32, 64, 128 )
- max Pooling
- Classification layer
  - fully connected (256, 128)
  - final output layer (6)



## **Datasets**



## JAFFE dataset

• 213 samples

#### CK+ dataset

- 327 labeled sequences
- variations in illumination & background

#### KDEF dataset

- 4900 pictures
- frontal and non-frontal faces, five different angles
- variations in illumination

#### KMU-FED dataset

- real driving environment
- 55 image sequences, 1106 frames
- changes in illumination, occlusions

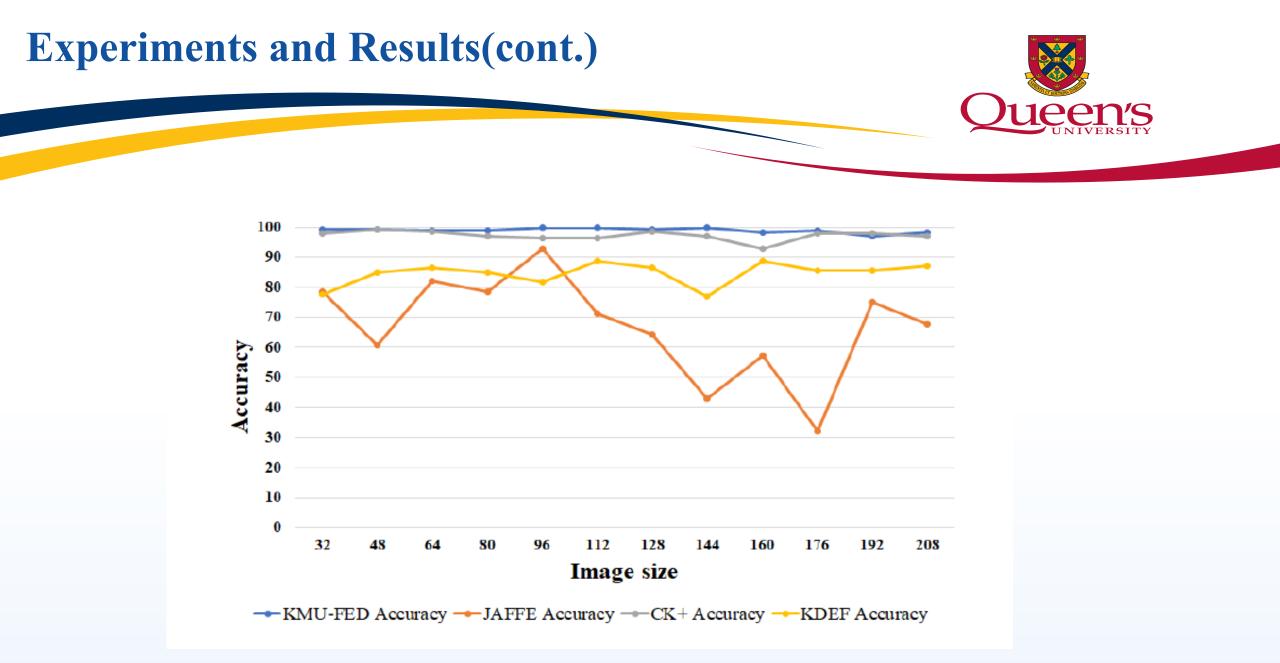
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	Anger	Disgust	Fear	Happiness	Sadness	Surprise

#### Datasets



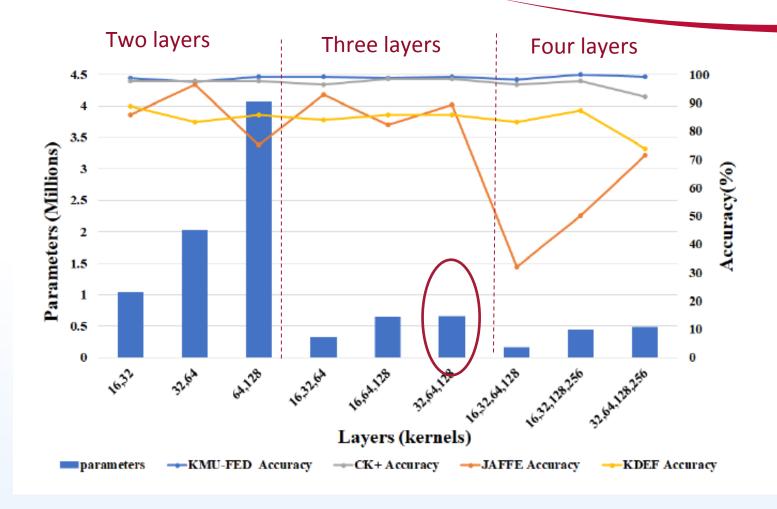
	Angry	Disgust	Fear	Happy	Sad	Surprise		Angry	Disgust	Fear	Happy	Sad	Surprise		Angry	Disgust	Fear	Happy	Sad	Surprise		Angry	Disgust	Fear	Happy	Sad	Surprise
Angry	4	0	0	0	0	0	Angry	21	0	0	0	0	0	Angry	18	0	0	0	2	1	Angry	24	0	0	0	0	0
Disgust	0	3	0	0	0	0	Disgust	0	20	0	0	0	0	Disgust	1	15	0	0	1	0	Disgust	0	22	0	0	0	0
Fear	0	0	4	0	0	0	Fear	0	0	12	0	0	0	Fear	0	0	23	0	0	5	Fear	0	0	32	0	0	0
Нарру	0	0	1	3	0	0	Нарру	0	0	0	31	0	0	Нарру	0	0	0	19	1	0	Нарру	0	0	0	33	0	0
Sad	0	0	0	0	7	0	Sad	1	0	0	0	17	0	Sad	1	0	1	0	16	1	Sad	0	0	0	0	22	0
Surprise	0	0	1	0	0	5	Surprise	0	0	0	0	0	38	Surprise	0	0	0	0	0	21	Surprise	0	0	0	0	0	33
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The confusion matrix of each dataset



Dataset accuracy versus different image sizes





Dataset accuracy versus different CNN architectures



# Explainable Model

## Deep learning

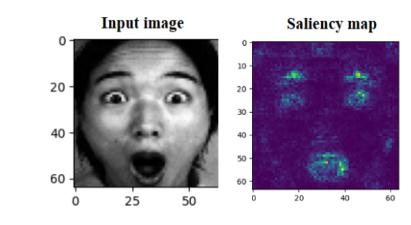
- High performance
- Black box

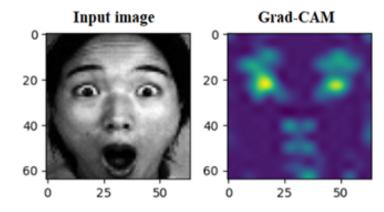
## Explainable Artificial Intelligence (XAI)

- Appropriate explanation
- improves the transparency and the trust

Saliency Map Gradient-Weighted Class Activation Map (Grad-M)







# Saliency Map [2]

- Local interpretable
- Areas importance
- Difference between a particular position and its surrounding

#### Grad-CAM [3]

- The gradient information
- Related regions to each class
- Class Activation Map (CAM) approach
- Guided Backpropagation

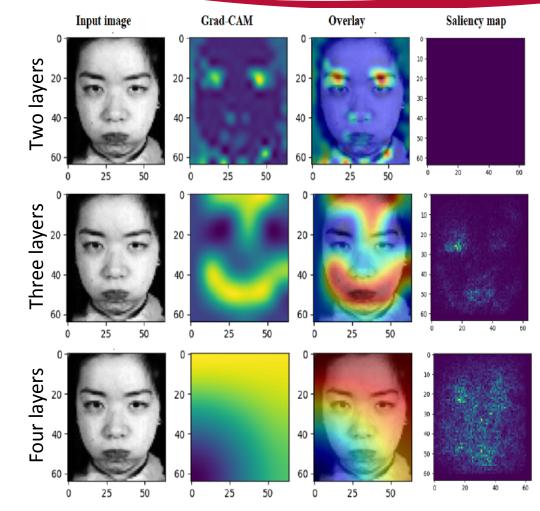


## Grad-CAM

- Two layers --> more details
- Three layers --> more accurate
- Four layers --> not clear

## Saliency map

- Two layers --> no details
- Three layers --> accurate and clear
- Four layers --> ambiguous







Comparison between our approach and the state-of-the-art FER approaches

Method	JAFFE	CK+	KDEF	KMU-FED			
Jeong et al. [4]		92.60%		94.70%			
Zhang et al. [5]		93.60%		97.30%			
Lopes et al. [6]	53.44%	96.76%					
Yang et al. [7]	92.21%	97.02%					
Puthanidam et al. [8]	100%		89.58%				
Pandey et al. [9]			83.33%				
Proposed	92.85%	99.28%	88.88%	100%			

# **Conclusion and Future Work**



- A CNN model to recognize the driver's emotions
- Frontal face datasets
- Two interpretability methods

- Non-frontal samples
- Identifying the driver's distraction in real-time





[1] Knapton, S.: Which emotion raises the risk of a car crash by nearly 10 times? https://www.telegraph.co.uk/news/science/science-news/12168472/Whichemotion- raises-the-risk-of-a-car-crash-by-nearly-10-times.html? (Feb 2016)
[2] Simonyan, K., Vedaldi, A., Zisserman, A.: Deep inside convolutional networks: Visualising image classification models and saliency maps. arXiv preprint arXiv:1312.6034 (2013)

- [3] Selvaraju, R.R., Cogswell, M., Das, A., Vedantam, R., Parikh, D., Batra, D.: Gradcam: Visual explanations from deep networks via gradient-based localization. In: Proceedings of the IEEE international conference on computer vision. pp. 618(626 (2017)
- [4] Jeong, M., Ko, B.C.: Driver's facial expression recognition in real-time for safe driving. Sensors 18(12), 4270 (2018)
  [5] Zhang, J., Mei, X., Liu, H., Yuan, S., Qian, T.: Detecting negative emotional stress based on facial expression in real time. In: 2019 IEEE 4th International Conference on Signal and Image Processing (ICSIP). pp. 430{434 (2019)
  [6] Lopes, A.T., de Aguiar, E., De Souza, A.F., Oliveira-Santos, T.: Facial expression recognition with convolutional neural networks: coping with few data and the training sample order. Pattern Recognition 61, 610{628 (2017)
  [7] Yang, B., Cao, J., Ni, R., Zhang, Y.: Facial expression recognition using weighted mixture deep neural network based on double-channel facial images. IEEE Access 6, 4630{4640 (2017)
- [8] Puthanidam, R.V., Moh, T.S.: A hybrid approach for facial expression recognition. In: Proceedings of the 12th International Conference on Ubiquitous Information Management and Communication. pp. 1{8 (2018)
- [9] Pandey, R.K., Karmakar, S., Ramakrishnan, A., Saha, N.: Improving facial emotion recognition systems using gradient and laplacian images. arXiv preprint arXiv:1902.05411 (2019)



